



MEETING TITLE Board of Directors		MEETING DATE 31/08/2017	
TITLE of PAPER	For Approval: Updated Code of Conduct for the Board of Directors, August 2017	PAPER REF	4.3
STRATEGIC OBJECTIVE(S)	All		
PURPOSE OF THE PAPER	That the Board approves the updated Code of Conduct for the Board of Directors		
For Approval	<input checked="" type="checkbox"/>	For Assurance	<input type="checkbox"/>
For Decision	<input type="checkbox"/>	Discussion/Information	<input type="checkbox"/>
AUTHOR / LEAD		ACCOUNTABLE DIRECTOR	
DISCUSSED AT / INFORMED BY – include date(s) as appropriate (free text – i.e. please provide an audit trail of the development(s)/proposal(s) subject of this paper): The Code of Conduct for the Board of Directors was approved by the Board in January 2015. This updated version includes changes to policy, legislation and the environment (internal and external); otherwise there are no material changes proposed. It was reviewed by the Trust Executive Group (TEG) on 27 March 2017.			
PREVIOUSLY AGREED AT:	Committee/Group: Trust Board Trust Executive Group	Date: January 2015 27 March 2017	
RECOMMENDATION(S)	That the Board approves the updated Code of Conduct for the Board of Directors, August 2017		
RISK ASSESSMENT		Yes	No
Corporate Risk Register and/or Board Assurance Framework amended <i>If 'Yes' – expand in Section 4. / attached paper</i>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Resource Implications (Financial, Workforce, other - specify) <i>If 'Yes' – expand in Section 2. / attached paper</i>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Legal implications/Regulatory requirements <i>If 'Yes' – expand in Section 2. / attached paper</i>		<input checked="" type="checkbox"/>	<input type="checkbox"/>
Diversity and Inclusion Implications <i>If 'Yes' – please attach to the back of this paper</i>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
ASSURANCE/COMPLIANCE			
Care Quality Commission Choose a DOMAIN(s)		5: Well led 2: Effective	
NHSI Single Oversight Framework Choose a THEME(s)		1. All	

Updated Code of Conduct for the Board of Directors

1. PURPOSE/AIM

- 1.1 That the Board approves the updated Code of Conduct for the Board of Directors, August 2017.

2. BACKGROUND/CONTEXT

- 2.1 The current Code of Conduct for the Board of Directors was approved by the Board in January 2015.

- 2.2 This updated version (Appendix A) incorporates changes to policy, legislation and the environment (internal and external) including the Trust's:

- New Purpose and Vision;
- Revised Standing Orders/Standing Financial Instructions, 2017;
- Business Conduct for Staff – Interests, Gifts, Hospitality and Sponsorship Policy, 2017;
- YAS' Freedom to Speak Up Policy, 2016 following Sir Robert Francis' Freedom to Speak Up Review, Monitor, 2015.

- 2.3 The Trust Executive Group reviewed the proposed changes on 27 March 2017 and agreed to recommend the Code to the Board for approval. Appendix A (attached showing tracked changes) also includes:

- Updated NHS/Trust logo (header);
- Removes reference to a Council of Governors (given the national position with respect to Foundation Trust application (2016));
- Removes reference to YAS' Constitution (reason as above);
- Trust Development Authority amended to NHS Improvement;
- Next review date August 2019;
- Trust Executive Group review, 27 March 2017;
- Board of Directors Approval, 31 August 2017.

3. PROPOSALS/NEXT STEPS

- 3.1 Following approval the updated Code of Conduct for the Board of Directors will be made available on the Trust's intranet library and public website.

4. RISK ASSESSMENT

- 4.1 If the Code of Conduct was not to be appropriately reviewed and updated this may compromise the Trust's reputation and, if it were failed to be applied by the

Board members this too may damage the reputation of the organisation and/or its ability to deliver its statutory purpose.

5. RECOMMENDATIONS

- 5.1 That the Board approves the updated Code of Conduct for the Board of Directors, August 2017.

6. APPENDICES/BACKGROUND INFORMATION

Appendix A: Draft updated Code of Conduct for the Board of Directors (tracked changed version)