



Trust Board Meeting in Public				31 July 2012	
Title	Risk Management and Assurance Strategy			Paper Ref	6.2
PURPOSE (X)		Information		Strategic Objective	Business Plan Objective
Approval	X	Decision			
Assurance	X	Discussion		All	All
Purpose of the paper	The purpose of this paper is to present to the Trust Board the revised Risk Management and Assurance Strategy for consideration and approval.				
Recommendation	It is recommended that the Board approves and ratifies the Risk Management and Assurance Strategy.				
Author	Kevin Wynn Associate Director of Risk & Safety	Accountable Director:		Steve Page Director of Standards & Compliance	
RISK ASSESSMENT				Yes	No
Changes to the Corporate Risk Register and/or Board Assurance Framework					X
Resource Implications					X
Legal implications					X
ASSURANCE/COMPLIANCE					
CQC Registration Outcome(s)	Auditors' Local Evaluation		NHSLA Risk Management Standards for Ambulance Trusts		
	4.1		1.1		

1. PURPOSE/AIM

- 1.1 To present to the Trust Board the revised Risk Management and Assurance Strategy for consideration and approval.

2. BACKGROUND/CONTEXT

- 2.1 The current iteration of the Risk Management and Assurance Strategy (Strategy) was approved and ratified by the Trust Board in July 2011.
- 2.2 The Strategy is subject to annual review and approval by the Trust Board.

3. SUMMARY OF KEY CHANGES

- 3.1 Job titles, committee and group names have been amended to reflect the revised governance arrangements within the Trust.
- 3.2 Section 5 'Strategic Risks' has been replaced with detail aligned the Trust's Integrated Business Plan 2012-17. This section now refers to the Trust's current strategic goals and related strategic risks.
- 3.3 Section 6 'Risk Management Objectives' has been updated to contain detail on risk management objectives considered by the Standards & Compliance Directorate.
- 3.4 Section 8.4 'Risk Registers' has been updated to more accurately describe business practice. There is also an emphasis change from reference to risk registers to the various levels of risk management in the Trust.
- 3.5 Reference to the Audit Commission has been removed from section 9.3, as this organisation no longer exists.
- 3.6 Under section 10 'Duties' details on the Quality Committee have been added to inform the reader on the role this committee plays in corporate governance and obtaining assurance. Details referring to the Risk Management Group have been removed, as this group no longer exists. Detail relating to the Trust Executive Group, Senior Management Group and Clinical Governance Group has been amended to more closely align with the detail in contained in the Integrated Business Plan.
- 3.7 Section 12 'Associated Procedural Documents' has been amended to focus on the primary related procedural documents and to make specific reference to those documents required for assessment against the NHSLA Risk Management Standards for Ambulance Trusts.
- 3.8 All references to the Annual Statement on Assurance have been replaced with the correct description of Annual Governance Statement.

- 3.9 A number of minor grammatical changes have been made throughout the Strategy.

The Risk Management and Assurance Strategy (2012-13) is attached to this paper.

4. CONSULTATION

- 4.1 The draft Strategy has been passed for consultation with a few selected senior managers and members of the Risk & Safety Team.

5. PROPOSALS/NEXT STEPS

- 5.1 The Risk Escalation and Reporting Procedure which details the operational implementation of the Strategy will also be subject to review.
- 5.2 It is proposed to present the Risk Escalation and Reporting Procedure to the Trust Executive Group for approval at a meeting to be determined in September.

6 RECOMMENDATION

- 6.1 It is recommended that the Board
- (a) approves the Risk Management and Assurance Strategy; and
 - (b) agrees to the proposals outlined in this paper.

7. ATTACHMENTS

- 7.1 Draft Risk Management and Assurance Strategy (2012-13).