



Trust Board Meeting in Public			25 September 2012	
Title	Risk Management and Assurance Strategy and supporting Risk Escalation & Reporting Procedure.		Paper Ref	7.2
PURPOSE (X)		Information	Strategic Objective	Business Plan Objective
Approval	X	Decision		
Assurance		Discussion		
			All	All
Purpose of the paper	The purpose of this paper is to present to the Trust Board the revised Risk Management and Assurance Strategy and supporting Risk Escalation & Reporting Procedure for consideration and approval.			
Recommendation	It is recommended that the Board: (a) Notes the updated Risk Management and Assurance Strategy, which was approved subject to minor changes in July. (b) Approves the Risk Escalation & Reporting Procedure; and (c) Agrees to the proposals outlined in this paper.			
Author	Kevin Wynn Associate Director of Risk & Safety	Accountable Director:	Steve Page Executive Director of Standards & Compliance	
RISK ASSESSMENT			Yes	No
Changes to the Corporate Risk Register and/or Board Assurance Framework				X
Resource Implications				X
Legal implications				X
ASSURANCE/COMPLIANCE				
CQC Registration Outcome(s)	Auditors' Local Evaluation		NHSLA Risk Management Standards for Ambulance Trusts	
N/A	N/A		1.1	1.5

1. PURPOSE/AIM

- 1.1 To present to the Trust Board the revised Risk Management and Assurance Strategy and supporting Risk Escalation & Reporting Procedure for consideration and approval.

2. BACKGROUND/CONTEXT

- 2.1 The current iteration of the Risk Management and Assurance Strategy (Strategy) was approved by the Trust Board in July 2012, subject to a number of changes agreed in the Board discussions
- 2.2 The current iteration of the Risk Escalation & Reporting Procedure was approved by the Senior Management Group in September 2011.
- 2.3 The Board agreed the revised Strategy in July 2012 subject to the additionally revised version being presented to the Board meeting on 25 September 2012, along with the Risk Escalation & Reporting Procedure.

3. SUMMARY OF KEY CHANGES

- 3.1 Version 2.1 of the revised Strategy was presented to the Board for approval at its meeting on 31 July 2012. Section 3 of the covering paper provided specific detail of the changes made, and these are repeated for reference;
 - Job titles, committee and group names have been amended to reflect the revised governance arrangements within the Trust.
 - Section 5 'Strategic Risks' has been replaced with detail aligned the Trust's Integrated Business Plan 2012-17. This section now refers to the Trust's current strategic goals and related strategic risks.
 - Section 6 'Risk Management Objectives' has been updated to contain detail on risk management objectives considered by the Standards & Compliance Directorate.
 - Section 8.4 'Risk Registers' has been updated to more accurately describe business practice. There is also an emphasis change from reference to risk registers to the various levels of risk management in the Trust.
 - Reference to the Audit Commission has been removed from section 9.3, as this organisation no longer exists.
 - Under section 10 'Duties' details on the Quality Committee have been added to inform the reader on the role this committee plays in corporate governance and obtaining assurance. Details referring to the

Risk Management Group have been removed, as this group no longer exists. Detail relating to the Trust Executive Group, Senior Management Group and Clinical Governance Group has been amended to more closely align with the detail in contained in the Integrated Business Plan.

- Section 12 'Associated Procedural Documents' has been amended to focus on the primary related procedural documents and to make specific reference to those documents required for assessment against the NHSLA Risk Management Standards for Ambulance Trusts.
- All references to the Annual Statement on Assurance have been replaced with the correct description of Annual Governance Statement.
- A number of minor grammatical changes have been made throughout the Strategy.

3.2 Details of the further changes requested by the Board are included in the table of revisions on page 2 of the revised Strategy v3

The Risk Management and Assurance Strategy v3 is attached to this paper.

3.3 A summary of the key changes made to the Risk Escalation & Reporting Procedure are included in the table of revisions on page 2 of the revised document.

The Risk Management & Reporting Procedure v2.1 is attached to this paper.

4. CONSULTATION

4.1 The Risk Management and Assurance Strategy and draft Risk Escalation and Reporting Procedure have been passed for consultation with selected senior managers and members of the Risk & Safety Team.

5. PROPOSALS/NEXT STEPS

5.1 Subject to approval the updated Risk Management and Assurance Strategy and the supporting Risk Escalation and Reporting Procedure will be placed in the Trust document library.

5.2 Staff will be made aware of the revised documents through an article in the Trust's weekly Operational Update.

6 RECOMMENDATION

- 6.1 It is recommended that the Board:
- (a) Notes the updated Risk Management and Assurance Strategy, which was approved subject to minor changes in July.
 - (b) Approves the Risk Escalation & Reporting Procedure; and
 - (c) Agrees to the proposals outlined in this paper.

7. ATTACHMENTS

- 7.1 Risk Management and Assurance Strategy v3
- 7.2 Draft Risk Escalation & Reporting Procedure v2.1