



<b>MEETING TITLE</b> Public Trust Board		<b>MEETING DATE</b> 24/09/2013	
<b>TITLE of PAPER</b>	Risk Management and Assurance Strategy including Risk and Assurance Information Flows	<b>PAPER REF</b>	5.1
<b>STRATEGIC OBJECTIVE</b>	To develop culture, systems and processes to support continuous improvement and innovation		
<b>PURPOSE OF THE PAPER</b>	To present the updated Risk Management Strategy in line with previously agreed changes in structure and process, for approval by the Trust Board.		
<b>For Approval</b>	<input type="checkbox"/>	<b>For Assurance</b>	<input type="checkbox"/>
<b>For Decision</b>	<input checked="" type="checkbox"/>	<b>Discussion/Information</b>	<input type="checkbox"/>
<b>AUTHOR / LEAD</b>	Mark Hall, Associate Director of Risk & Safety	<b>ACCOUNTABLE DIRECTOR</b>	Director of Standards & Compliance
<b>DEBATED AT:</b>	<b>Committee/Group</b> Risk & Assurance Group	<b>Date:</b> 30 August 2013	
<b>PREVIOUSLY AGREED AT:</b>	<b>Committee/Group:</b> Board Development Audit Committee	<b>Date:</b> 16 July 2013 16 July 2013	
<b>RECOMMENDATION</b>	It is recommended that the Board approves the updated strategy for implementation.		
<b>RISK ASSESSMENT</b>		<b>Yes</b>	<b>No</b>
<b>Corporate Risk Register and/or Board Assurance Framework amended</b>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>Resource Implications (Financial, Workforce, other - specify)</b>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>Legal implications/Regulatory requirements</b>		<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>Quality and Diversity Implications</b>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>ASSURANCE/COMPLIANCE</b>			
<b>Care Quality Commission Registration Outcome(s)</b>		16: Assessing and monitoring the quality of service provision	
<b>NHSLA Risk Management Standards for Ambulance Trusts</b>		1: Governance	1

## **1. PURPOSE/AIM**

- 1.1 To present the updated Risk Management Strategy in line with previously agreed changes in structure and process, for approval by the Trust Board.

## **2. BACKGROUND/CONTEXT**

- 2.1 During 2012/13 the Trust has reviewed and strengthened key aspects of its risk management and assurance systems. Developments have included:

- Development of the Board Assurance Framework and associated risk movement reports
- Implementation of the Datix risk management system and migration of risks registers to this system
- Improvements to the management of incidents, compliants and concerns
- Enhancements to the roles of Board committees and management groups in relation to key organisational risks, and review of the flow of risk and assurance information between committees, to ensure effective coverage of all Trust business.

- 2.2 The attached strategy document build on version 3.1 by incorporating references to these key changes.

- 2.3 Other existing content of the document has been re-ordered to present a succinct overview of the strategic aims of the Trust as agreed by the Trust Board.

- 2.4 The Strategy is underpinned by the Risk Management Procedures that are also in the process of review and update to bring them under one cover. It is planned that these will be presented for approval by the Senior Management Group (SMG) in November 2013.

- 2.5 The NHSLA standards require a Board approved Risk Management Strategy to be in place and for this to be subject to annual review.

## **3. RECOMMENDATIONS**

- 3.1 It is recommended that the Board approves the updated strategy for implementation.

## **4. APPENDICES**

- 4.1 Appendix 1 – Risk Management and Assurance Strategy version 3.2 September 2013.