



MEETING TITLE Trust Board Meeting in Public		MEETING DATE 26/11/2013	
TITLE of PAPER	For Approval: NHS Trust Development Authority (NTDA) Compliance (October 2013)	PAPER REF	5.2
STRATEGIC OBJECTIVE	All		
PURPOSE OF THE PAPER	That the Trust Board reviews and approves the NTDA compliance statements for October 2013: <ul style="list-style-type: none"> i compliance with Monitor licence requirements for NHS Trusts; ii NTDA Board Statements 		
For Approval	<input checked="" type="checkbox"/>	For Assurance	<input checked="" type="checkbox"/>
For Decision	<input type="checkbox"/>	Discussion/Information	<input type="checkbox"/>
AUTHOR / LEAD	Mark Turner, FT Programme Officer	ACCOUNTABLE DIRECTOR	Chief Executive
DEBATED AT:	Committee/Group: Trust Executive Group	Date: x	
PREVIOUSLY AGREED AT:	Committee/Group: Not Applicable	Date:	
RECOMMENDATION	It is recommended that the Trust Board approves the NTDA compliance statements for October 2013: <ul style="list-style-type: none"> i compliance with Monitor licence requirements for NHS Trusts ii NTDA Board Statements 		
RISK ASSESSMENT		Yes	No
Corporate Risk Register and/or Board Assurance Framework amended <i>If 'Yes' – expand in Section 4. / attached paper</i>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Resource Implications (Financial, Workforce, other - specify) <i>If 'Yes' – expand in Section 2. / attached paper</i>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Legal implications/Regulatory requirements <i>If 'Yes' – expand in Section 2. / attached paper</i>		<input checked="" type="checkbox"/>	<input type="checkbox"/>

Quality and Diversity Implications If 'Yes' – please attach to the back of this paper		<input type="checkbox"/>	<input checked="" type="checkbox"/>
ASSURANCE/COMPLIANCE			
Care Quality Commission Registration Outcome(s)	16: Assessing and monitoring the quality of service provision		
NHSLA Risk Management Standards for Ambulance Trusts	1: Governance		

NHS Trust Development Authority Compliance (October 2013)

1. PURPOSE/AIM

- 1.1 The purpose of this paper is to bring to the Trust Board for review and approval the NHS Trust Development Authority (NTDA) compliance statements for October 2013:
- i compliance with Monitor licence requirements for NHS Trusts;
 - ii NTDA Board statements

2. BACKGROUND/CONTEXT

- 2.1 The NTDA require all NHS Trusts to make monthly declarations of compliance as detailed at 1.1. These are detailed in the NHSTDA Accountability Framework. The Trust Executive Group completes the statements for submission to, and the approval of, the Trust Board.
- 2.2 Attached as Appendices 3 and 4 are the trusts current evidence tables relating to the Board Statements for Clinical Quality, Finance and Governance and compliance with Monitor Licence Requirements for NHS Trusts. The evidence contained within these appendices will be presented to the Audit Committee on the 10 December 2013 for assurance in relation to the robustness and sources of the evidence.
- 2.3 The evidence tables will be attached to all future monthly NHSTDA compliance returns.

3. PROPOSALS/NEXT STEPS

- 3.1 Following Trust Board approval both the attached statements (appendix 1 & 2) will be submitted to the NHSTDA.

4 RECOMMENDATIONS

It is recommended that the Trust Board approves the NHSTDA compliance statements for October 2013:

- i compliance with Monitor licence requirements for NHS Trusts
- ii NHSTDA Board statements

5. APPENDICES/BACKGROUND INFORMATION

- Appendix 1 NHSTDA Return – Board statements
Appendix 2 NHSTDA Return – Monitor licence requirements for NHS Trusts
Appendix 3 Evidence – Board Statements
Appendix 4 Evidence – Monitor Licence Requirements