

NHS TDA Accountability Framework - Monitor Compliance

Condition	Compliance	Evidence
1. Condition G4 - Fit and proper persons as Governors and Directors.	Yes	Register of Declarations of Director's Interests held & published; CRB checks; Job Application Forms (held in confidential personnel files); References (held in confidential personnel files); Executive Director's Terms & Conditions include clause viz 'fit & proper persons'
2. Condition G5 - Having regard to monitor Guidance.	Yes	<p>This is a statement of intent to comply with guidance issued by Monitor. If the Trust Board answered 'No' it would need to declare which parts of section 96(2) of the 2012 Act it would not have regard to.</p> <p>Evidence of Assurance includes: Trust Board & Committee Structure including independent Audit Committee; Board Governance Assurance Framework (Trust Board 3 x per annum); Significant Incidents & Lessons Learned (Trust Board 2 x per annum); Annual Report & Accounts; Board Memorandum on Quality Governance; Integrated Business Plan; Compliance Framework 2013/14 (superseded by the Risk Assessment Framework) ; Standing Orders/Standing Financial Instructions.</p> <p>The FT team horizon scan for any changes/updates or new publications from Monitor and assurances are provided via the FT Development Group</p>
3. Condition G7 - Registration with the Care Quality Commission.	Yes	<p>Registration Certificate embedded.</p> <p>Following an unannounced inspection by the CQC in July 2013 that there are minor concerns against Outcome 9 – Management of medicines and Outcome 14 - Supporting workers, though these minor concerns do not impact on YAS registration.</p> <p>Refer to Clinical Quality Board Statement 2</p>
4. Condition G8 - Patient eligibility and selection criteria.	Yes	This is in regard to setting transparent eligibility and selection criteria, which is not applicable within the A&E service. PTS eligibility criteria are set at national level by DH . YAS implement the criteria by use of flowcharts
5. Condition P1 - Recording of information.	Yes	This refers to "If required in writing by Monitor, and only in relation to periods from the date of that requirement" and so is merely a statement of intent that the Trust would adhere to Monitor's request if made
6. Condition P2 - Provision of information.	Yes	As P1, this is a confirmation that the Trust would adhere with Monitor requests for provision of information
7. Condition P3 - Assurance report on submissions to Monitor.	Yes	As P1 & P2, this is a confirmation that the Trust would adhere with Monitor requests

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8. Condition P4 - Compliance with the National Tariff.	No	NHS PbR Guidance 2013/14 2 page 162: Ambulance services
YAS Response: National Tariff does not apply to Ambulance Services. YAS are applying local tariff to the four nationally agreed currencies.		811. In April 2012 we published a currency for the contracting of emergency and urgent ambulance services
9. Condition P5 - Constructive engagement concerning local tariff modifications.	No	812. This currency was mandated for the 2011-12 reference cost collection and mandated for contracting in 2012-13. Prices will continue to be agreed locally for 2013-14
YAS Response: National Tariff does not apply to Ambulance Services. YAS are applying local tariff to the four nationally agreed currencies.		813. Four categories were developed and agreed with ambulance trusts and commissioners as the basis for payment
10. Condition C1 - The right of patients to make choices.	Yes	The detail behind this is that : <i>" the Licensee shall ensure that at every point where that person has a choice of provider under the NHS Constitution or a choice of provider conferred locally by Commissioner"</i> This is a statement confirming the Board's agreement with the principle, in reality for this is at present not applicable for Ambulance Trust core services
11. Condition C2 - Competition oversight.	Yes	This is a statement the Board are asked to make that the Trust will not <i>" enter into or maintain any agreement or other arrangement which has the object or which has (or would be likely to have) the effect of preventing, restricting or distorting competition in the provision of health care services"</i>
12. Condition IC1 - Provision of integrated care.	Yes	This is a statement the Board are asked to make that : <i>"The Licensee shall not do anything that reasonably would be regarded as against the interests of people who use health care services by being detrimental to enabling its provision of health care services for the purposes of the NHS to be integrated with the provision of such services by others"</i>