



<b>MEETING TITLE</b> Trust Board		<b>MEETING DATE</b> 27/01/2015	
<b>TITLE of PAPER</b>	For Approval: Code of Conduct for the Board of Directors	<b>PAPER REF</b>	5.5
<b>STRATEGIC OBJECTIVE</b>	All		
<b>PURPOSE OF THE PAPER</b>	That the Trust Board receive and approve the draft updated Code of Conduct for the Board of Directors, revised January 2015		
<b>For Approval</b>	<input checked="" type="checkbox"/>	<b>For Assurance</b>	<input type="checkbox"/>
<b>For Decision</b>	<input type="checkbox"/>	<b>Discussion/Information</b>	<input type="checkbox"/>
<b>AUTHOR / LEAD</b>	Anne Allen, Trust Secretary	<b>ACCOUNTABLE DIRECTOR</b>	Chairman
<b>DISCUSSED AT / INFORMED BY – include date(s) as appropriate (free text – i.e. please provide an audit trail of the development(s)/proposal(s) subject of this paper):</b> Trust Executive Group, 7 January 2015 Trust Board approved, 13 September 2012			
<b>PREVIOUSLY AGREED AT:</b>	<b>Committee/Group:</b> Trust Executive Group Trust Board	<b>Date:</b> 07/01/2015 13/09/2012	
<b>RECOMMENDATION</b>	That the Trust Board approve the draft updated Code of Conduct for the Board of Directors, revised January 2015		
<b>RISK ASSESSMENT</b>		<b>Yes</b>	<b>No</b>
<b>Corporate Risk Register and/or Board Assurance Framework amended</b> <i>If 'Yes' – expand in Section 4. / attached paper</i>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>Resource Implications (Financial, Workforce, other - specify)</b> <i>If 'Yes' – expand in Section 2. / attached paper</i>		<input type="checkbox"/>	<input checked="" type="checkbox"/>

<b>Legal implications/Regulatory requirements</b> If 'Yes' – expand in Section 2. / attached paper	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>Equality and Diversity Implications</b> If 'Yes' – please attach to the back of this paper	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>ASSURANCE/COMPLIANCE</b>		
<b>Care Quality Commission</b> <b>Choose a DOMAIN</b>	All	
<b>Monitor Quality Governance Framework</b> <b>Choose a DOMAIN</b>	All	

## Code of Conduct for the Board of Directors

### 1. PURPOSE/AIM

- 1.1 That the Trust Board receive and approve the draft updated Code of Conduct for the Board of Directors, revised January 2015.

### 2. BACKGROUND/CONTEXT

- 2.1 The current version of the Code of Conduct for the Board of Directors [the Code] was approved by the Trust Board in September 2012, when it was agreed to be reviewed as the Trust was approaching Foundation Trust authorisation.
- 2.2 Given there has been a range of recent statutory requirements and published guidance which impact on the Code, it is appropriate it now be updated.
- 2.3 This updated version of the Code is largely based on the current document with material revisions **shown as tracked changes** (please see attached: draft updated Code of Conduct for the Board of Directors, January 2015).

The 'References' per se (see p.3/attached) have been updated but are *not* shown as track changes.

- 2.4 The Board is invited to note that, the Trust is already compliant with several recently introduced specific requirements (Health and Social Care Act, 2014) and, where appropriate, Board approvals received:
- Standards for Members of NHS Boards and Clinical Commissioning Group Governing Bodies in England, Professional Standards Authority, 2013 (Trust Board in Public, (28 January 2014);
  - The Statutory Duty of Candour (Trust Board in Public, 25 November 2014);
  - Fit and Proper Person Requirement (Director recruitment process).
- 2.5 It should also be noted, as future changes occur in the environment the Code may require further amendment.

**3. PROPOSALS/NEXT STEPS**

- 3.1 That the Trust Board approve the draft updated Code of Conduct for the Board of Directors, revised January 2015.

**4. RISK ASSESSMENT**

- 4.1 If the Code is not kept up to date in light of statutory requirements and/or published guidance there is a risk the Board may not fulfil all of its duties.

**5. RECOMMENDATIONS**

- 5.1 That the Trust Board approve the draft updated Code of Conduct for the Board of Directors, revised January 2015.

**6. APPENDICES/BACKGROUND INFORMATION**

Draft updated Code of Conduct for the Board of Directors, January 2015.