



MEETING TITLE : Public Trust Board		MEETING DATE 24/11/2015	
TITLE of PAPER	Board Assurance Framework (BAF) including Risk Register	PAPER REF	5.5
STRATEGIC OBJECTIVE	All		
PURPOSE OF THE PAPER	To inform the Board on the risks recorded within the BAF and Corporate Risk Register and to provide assurance on the effective management of corporate risks		
For Approval	<input type="checkbox"/>	For Assurance	<input type="checkbox"/>
For Decision	<input type="checkbox"/>	Discussion/Information	<input checked="" type="checkbox"/>
AUTHOR / LEAD	Maxine M Travis, Risk Manager	ACCOUNTABLE DIRECTOR	Steve Page, Director of Standards and Compliance
DISCUSSED AT / INFORMED BY The BAF and CRR are subject to the quarterly cycle of peer review through Risk and Assurance Group, Trust Executive Group and Board Committees.			
PREVIOUSLY AGREED AT:	Committee/Group: Not Applicable	Date:	
RECOMMENDATION	It is recommended that Trust Board notes the developments outlined in the report and is assured with regard to the effective management of risks.		
RISK ASSESSMENT		Yes	No
Corporate Risk Register and/or Board Assurance Framework amended <i>If 'Yes' – expand in Section 4. / attached paper</i>		<input checked="" type="checkbox"/>	<input type="checkbox"/>
Resource Implications (Financial, Workforce, other - specify) <i>If 'Yes' – expand in Section 2. / attached paper</i>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Legal implications/Regulatory requirements <i>If 'Yes' – expand in Section 2. / attached paper</i>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Equality and Diversity Implications <i>If 'Yes' – please attach to the back of this paper</i>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
ASSURANCE/COMPLIANCE			
Care Quality Commission	All		
Monitor Quality Governance Framework	All		

1. PURPOSE/AIM

- 1.1 To inform the Trust Board on the risks recorded within the BAF and Corporate Risk Register and to provide assurance on the effective management of corporate risks

2. BACKGROUND/CONTEXT

- 2.1 Development of the 2015/16 BAF was by means of a presentation to Board Development Meeting in February 2015, where all risks and mitigations on the 2014/15 BAF were discussed and continuation of existing risks with the addition of new risks formed the 2015/16 BAF
- 2.2 The strategic objectives on the BAF are underpinned by risk registers and high level risks from other sources, covering the full range of Trust business.
- 2.3 The updated BAF and risk register have been reviewed by the Trust Executive, senior management and in Risk and Assurance Group and through the September round of Board Committees.

3. NEW RISKS REPORTED TO TRUST MANAGEMENT GROUP

- 3.1 The following risks were identified by the Risk & Assurance Group and recommended to the Trust Management Group in November for inclusion on the Corporate Risk Register:
- Risk ID 735: Possible loss of income and staff due to potential decommissioning of HCP green bookings.
 - Risk ID 720: Possible risk to patient and staff safety if adequate winter plans are not put in place resulting in an inability to maintain effective service delivery.
 - Risk ID 721: There could be an interrupted flow of getting staff into post to address service demand if current pressures on the Training and Education team continue and increase further, as is expected as a result of the revised workforce plan.
 - Risk ID 731: Staff safety could be compromised and an increase in musculoskeletal injuries could arise if the Trust continues to purchase the Mercedes van conversion ambulances due to the operation of the tail lift and from working with/moving patients in a confined environment.

4. PROPOSALS/ NEXT STEPS

- 4.1 The quarterly cycle of the BAF review will continue through management groups and committees.
- 4.2 The Risk Register will continue to be reviewed at each meeting of the Risk and Assurance Group.

- 4.3 An additional session has been scheduled within Board Development programme to allow further opportunity to discuss Risk Appetite in relation to Trust Strategy.

5. RISK ASSESSMENT

- 5.1 Key changes to the Trust risk profile since last report have been incorporated into the revised BAF and CRR.

6. RECOMMENDATIONS

- 6.1 It is recommended that Trust Board notes the developments outlined in the report and is assured with regard to the effective management of risks.

7. APPENDICES/BACKGROUND INFORMATION

- 7.1 Appendix 1 - BAF 2015/16
- 7.2 Appendix 2 - Corporate Risk Register