



MEETING TITLE Trust Board Meeting		MEETING DATE 04/06/2020	
TITLE of PAPER	Risk Management Report with Corporate Risk Register and Risk function updates	PAPER REF	TB20.010
KEY PRIORITIES	Create a safe and high performing organisation based on openness, ownership and accountability		
PURPOSE OF THE PAPER	To present the latest position regarding corporate risks. To report on the development of a specific risk log for Covid-19.		
For Approval	<input type="checkbox"/>	For Assurance	<input checked="" type="checkbox"/>
For Decision	<input type="checkbox"/>	Discussion/Information	<input checked="" type="checkbox"/>
AUTHOR / LEAD	Kate Taylor, Head of Risk David O'Brien, Associate Director of Performance, Assurance and Risk	ACCOUNTABLE DIRECTOR	Steve Page – Executive Director of Quality, Governance & Performance Assurance, Deputy Chief Executive
DISCUSSED AT / INFORMED BY – RAG, Operational Senior Management Team meetings, TMG			
PREVIOUSLY AGREED AT:	Committee/Group:	Date:	
RECOMMENDATION(S)	The Trust Board is asked to: <ul style="list-style-type: none"> Note the development of a specific risk log relating to Covid-19, and the individual risk recorded to date. Ensure that the Corporate Risk Register comprehensively reflects their business risks, particularly in the context of Covid-19 		
RISK ASSESSMENT		Yes	No
Corporate Risk Register and/or Board Assurance Framework amended		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Equality Impact Assessment		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Resource Implications (Financial, Workforce, other - specify) <i>If 'Yes' – expand in Section 2. / attached paper</i>		<input type="checkbox"/>	<input checked="" type="checkbox"/>
Legal implications/Regulatory requirements <i>If 'Yes' – expand in Section 2. / attached paper</i>		<input checked="" type="checkbox"/>	<input type="checkbox"/>
ASSURANCE/COMPLIANCE			
Care Quality Commission	All		
Choose a DOMAIN(s)	All		

1. PURPOSE/AIM

- 1.1 The purpose of this paper is to:
- present the latest position regarding corporate risks.
 - report on the development of a specific risk log for Covid-19.

2. BACKGROUND/CONTEXT

- 2.1 Effective risk management is a cornerstone of the Trust's *One Team, Best Care* strategic priority to *create a safe and high performing organisation based on openness, ownership and accountability*.
- 2.2 Risk is inherent in all Trust activities and risk management is everybody's business. Failure to manage risk well could lead to harm to patients staff or others, loss or damage to the Trust's reputation and assets, financial loss, and potential for complaints, litigation and adverse publicity.
- 2.3 Effective risk management is essential at all levels and across all activities of the organisation in order to support safe and high quality service delivery as well as pro-active planning for Trust development.

3. CORPORATE RISK REGISTER

- 3.1 Appendix 1 presents the Corporate Risk Register (CRR). The CRR is reviewed by the Risk Assurance Group (RAG) and the Trust Management Group monthly. It comprises those strategic and operational risks being managed across the Trust that have a current risk score of 12 or above (based on the likelihood and consequence criteria found in the Trust's risk evaluation matrix). Amidst the current Covid-19 position RAG updates have been sought virtually from RAG members rather than via collective meetings of the group.
- 3.2 The CRR is colour coded as shown below to indicate whether each risk falls within the remit of the Quality Committee, or the Finance and Investment Committee, or the remit of both committees.

Quality Committee	
Finance Investment Committee	
Both Committees	

- 3.3 In order to capture and track risks associated with the current Covid-19 pandemic the risk team has developed an individual Covid-19 risk register on Datix IQ Cloud. Note, however, that currently the new IQ Cloud system presents some limitations in terms of its reporting capability which we are actively working with Datix provider to resolve.

4.3 CHANGES TO CRR SINCE PREVIOUS TMG

4.3.1 Risks added since the previous TMG meeting

A specific set of risks relating to Covid-19 has been recorded on Datix IQ Cloud. These risks are as follows:

69 - Effect of Coronavirus calls on demand and patient safety

IF demand within IUC for advice relating to Coronavirus continues to increase following national announcement AND further assessment is required of all Coronavirus calls through NHS Pathways Module 0 and 1 (outside of national guidance) THEN there is a potential for delays in responding to calls from patients requiring clinical advice RESULTING IN delays in assessment and potential abandonment in calls which could impact on 999 and other health care providers.

82 - Impacts of Covid-19 on EOC and 999 Service Delivery

IF demand on EOC and 999 continues to escalate as a direct result of the Covid-19 incident THEN the Trust will be unable to maintain an adequate response either by telephony or face to face RESULTING IN potential for delays to patient care, patient harm and reputational damage due to inability to respond.

95 - PPE Recalls

'Push' stocks of PPE received from Supply Chain, Clipper and other non-supply chain linked suppliers of PPE are not fit for purpose.

96 - Supply of PPE and IPC provisions

IF the Trust does not ensure sufficient Personal Protective Equipment and Infection Prevention supplies for all staff THEN the Trust would not be meeting its regulatory requirement under Health and Safety legislation RESULTING IN non-compliance with regulation and potential for patient and staff harm.

This risk includes coverage of the following:

- Daily review of provisions
- Additional ordering
- Stock security

99 - Staff physical and mental wellbeing during Covid-19 response

IF Yorkshire Ambulance Service doesn't provide appropriate resources to promote staff wellbeing THEN the Trust may encounter increased sickness both Covid related but also related to stress and anxiety, recruitment and retention issues RESULTING IN staff resourcing issues and reputational damage.

This risk includes coverage of the following:

- Staff communications
- Infection Prevention advice
- Safety of staff working from home
- Mental Health well being of staff
- Bereavement support for staff
- Safety of staff working in call centres
- Safety of staff on other Trust premises
- Well being of specific higher risk staff groups

100 - Corporate Response to Covid-19

IF corporate services do not support operational response to the current Covid-19 response THEN the Trust will not have a co-ordinated approach RESULTING IN lack of corporate support across ICT, HR, Fleet, estates and other corporate functions.

This risk includes coverage of the following:

- Corporate Cell Co-ordination
- Staff Redeployment

103 - Impact of covid response on core governance and compliance functions

IF the Trust does not ensure an appropriate Recovery Plan from the Covid-19 response THEN a number of core functions will not be achieved RESULTING IN non-compliance with regulations, education and training provision and the wider Trust strategy and business plan.

This risk includes coverage of the following:

- Education and Training provision
- Core Governance and Compliance
- Wider Trust Strategy and Business plan
- Lessons learnt as part of Recovery Phase

104 - Financial uncertainty arising from covid response measures in the Trust and nationally

The detail of this risk remains in development.

A specific Covid-19 risk relating to ICT is also in development.

4.3.2 Risks reduced since the previous TMG meeting

1181 – Airwave button activation and response

IF there is no process in place to determine what should occur in the event of AIRWAVE button activation THEN there will be an ineffective response when crews activate the emergency button RESULTING IN potential for increased harm to staff

The new process is well embedded with no further incidents escalated therefore the risk has been reduced.

4.3.3 Risks increased since the previous TMG meeting

There have been no further increases in risks currently within the risk register.

5. PROPOSALS / NEXT STEPS

- 5.1 Review of the Corporate Risk Register will continue via the Risk and Assurance Group membership, with monthly updates to TMG.
- 5.2 Work will continue to develop and refine the risk log relating to Covid-19.

6. RECOMMENDATIONS

6.1 The Trust Board is asked to:

- Note the development of a specific risk log relating to Covid-19, and the individual risk recorded to date.
- Ensure that the Corporate Risk Register comprehensively reflects their business risks, particularly in the context of Covid-19.

7. APPENDICES / BACKGROUND INFORMATION

7.1 Appendix 1: Corporate Risk Register