



Paper Ref
 TB21.058

Meeting Title Trust Board Meeting in Public	Meeting Date 26 October 2021
Reporting Committee	Audit Committee
Committee Chaired By	Andrew Chang: Non-Executive Director
Lead Executive Director	Kathryn Vause: Executive Director of Finance
Date of Last Committee Meeting[s]	7 October 2021

Summary of Key Matters Considered and Decisions Taken

The Committee has held one meeting since its last report to the Board in July 2021. The meeting was held via videoconference and was quorate.

- A progress update was provided by Internal Audit on work planned. The Committee agreed to move back audits relating to the IT Service User review and Disciplinary procedures audit to Q3 /Q4 2021-22.
- A discussion was held around the time taken by management to complete actions arising from Audit Reports. The Committee acknowledged current service pressures and the requirement to ensure a balance and recognition of governance supporting operational activity. The Committee agreed to escalate this to the Board.
- The Head of Internal Audit Opinion – Stage 1 draft memo was presented. It was noted that the Risk Management and Assurance Strategic Framework was a comprehensive document supported by Risk Management Policy. There was one recommendation in relation to the Board Assurance Framework (BAF). However, the Trust was progressing a refresh of the BAF which was due to be discussed by the Board in October 2021.
- The Committee received an update from External Audit which included the Auditor’s Annual Report.
- The Annual Report and Accounts of the YAS Charity for 2020-21 were presented and assurance received regarding the completion of the independent inspection of the accounts.
- The Committee invited the Director of People and Organisational Development to the meeting and was provided with a progress update on actions relating to the overpayment of salaries. It was agreed a further update would be presented to the Committee in January 2022.
- The Charitable Funds Committee provided an update relating to the arrangements in place for Community First Responder fundraising activities noting that a number had expressed a preference to become branches of the Charity. Work to progress this was ongoing and seen as a positive move forward for the Charity.
- Members were pleased to note the decrease in the number of transactions using the single tender waiver procurement route and acknowledged and praised the work of the procurement team in achieving this.
- The Committee undertook a self-assessment against the Healthcare Financial Management Associate (HFMA) Audit Committee assessment and considered areas for improvement and development.



Risks Identified / Matters of Concern and Mitigating Actions

The Committee discussed the actions arising from the Patient Experience – Patient Transport Service Internal Audit report which received a limited assurance audit opinion. It was agreed that this would be escalated to the Quality Committee to monitor the actions raised and related matters.

Matters requiring Board level consideration and/or approval

The Committee highlights to the Board concern regarding the continued impact of REAP4/5 on the Trust and the requirement to support the Executive Team to enable balance between service delivery and governance as an enabler of this.

The Committee confirms that the YAS Charity Annual Report and Accounts 2020-21 were presented at the last meeting of the Committee and assurance received regarding the completion of the independent inspection of the accounts.

The approved minutes of the meeting[s] held 8 July 2021 are appended to this report.

Date of next Committee Meeting	6 January 2021
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