

ID	Title	WHAT (IF... THEN...)	SO WHAT (RESULTING IN...)	Risk Ownership							Initial Grading	Current Grading	Target Grading	WHAT NEXT?
				Risk Ownership	BAF Risk(s)	Directorate	Area	Risk Owner	Risk Reviewed Date	Next Review Date				
656	Cyber Threat	IF YAS were to be impacted by a cyber attack THEN there would be a potential for disruption to service, and/or data breach	RESULTING IN impact to patient safety, failure to meet legal compliance and statutory responsibilities, financial penalties, and reputational damage.	Finance and Performance	14	Digital	ICT	Ola Zahran	14/04/2025	30/07/2025	12	12	4	Cyber Strategy drafted and awaiting final approval. Awareness campaign with corp comms to be delivered alongside the strategy. Action: New project to begin around becoming Zero Trust Network
34	Section 172 Road Traffic Act 1988 - Obligations to furnish police with driver details upon demand	IF a driver of any vehicle owned and/or operated by the Trust can't be readily identified at the time of a moving traffic offence THEN the Trust will be guilty of an offence under Section 172 of the Road Traffic Act 1988	RESULTING IN the Chief Executive as responsible officer being summonsed to court for the offence with negative financial and reputational impact for the Trust.	People	9	Finance, Estates & Procurement	Fleet	Jeff Gott	30/04/2025	31/07/2025	12	15	4	87% telematics fittings complete Action: Collecting driver ID to implementation Phase 2, aiming to be completed by end of July 2025
62	Climate Change	IF Climate Change continues to occur THEN extreme weather events (heatwaves, cold waves, flooding, flash floods, droughts) and sea level rise will occur	RESULTING IN multiple implications for the Trust.	Finance and Performance	15	Finance, Estates & Procurement	Estates and Facilities	Alexis Percival	13/05/2025	31/07/2025	15	15	12	Awaiting group to be created in order to complete sign off of documents.
667	Achieving Net Zero	IF We don't achieve our Net Zero targets in line with the Greener NHS targets of 2040 (Scope 1 and 2) and 2045 (Scope 1, 2 and 3) and the Climate Change Act 2008 (complete decarbonisation by 2050) THEN We will not be compliant with our statutory requirements	RESULTING IN not decarbonising in line with national and international requirements. Potential breach of contracts, financial implications and reputational damage.	Finance and Performance	15	Finance, Estates & Procurement	Estates and Facilities	Alexis Percival	21/01/2025	30/06/2025	20	20	6	Governance structure to be developed. Actions: Green Plan in place for 2020-2025 Climate Adaptation plan (draft) – awaiting sign off Flood risk plan (draft) – awaiting sign off Biodiversity plan (draft) – awaiting sign off Environmental Policy (updated) – awaiting sign off Heat decarbonisation plan (draft)
511	Availability of EFF Funding for Estates	IF there is insufficient capital & revenue funding to maintain, modernise and/or expand the existing estate THEN all services will struggle to operate out of some sites	RESULTING IN not being able to effectively deliver services in some localities.	Finance and Performance	12. 13.	Finance, Estates & Procurement	Estates and Facilities	Glenn Adams	28/05/2025	31/07/2025	15	15	6	Ongoing work to produce a 5 year Estates strategy to understand the Trust priorities. Action: Information to be gathered around building conditions
68	Deep Clean Tablet System	IF the in-house development of the Deep Clean tablet-based monitoring system is not made available THEN the Ancillary Services Team will be required to continue to work in accordance with departmental BC plan	RESULTING IN additional work for the team, increased risk with manual processes to track vehicle Deep Clean schedules and recording of Deep Clean compliance.	Finance and Performance	13. 14.	Finance, Estates & Procurement	Ancillary	Steven Waters	08/04/2025	01/07/2025	12	12	2	Weekly meetings in place with Chevin Fleet Project team. Action: Begin AVP/Ancillary testing phase
640	Procurement Act 2023	IF the Trust does not meet the new 'Procurement Act 2023' requirements to be enforced October 2024 THEN we will non - compliant in line with regulation	RESULTING IN challenge from suppliers, legal action, financial penalties and reputational risk.	Finance and Performance	12. 13.	Finance, Estates & Procurement	Procurement	Matt Barker	06/05/2025	30/06/2025	12	12	4	Procurement Act and process live as of February 2025.
28	Management of paper records within YAS	IF HR/Departmental paper files being held on YAS premises continue to be held in unsecure cabinets and locations THEN the Trust will not be compliant with Data Protection regulations	RESULTING IN the potential for unauthorised access, inability to locate files to comply with SARs or investigations and potential for the Trust to be fined by the ICO.	Quality	14	Corporate Services	Risk and Assurance	Helen Jones	02/04/2025	31/07/2025	12	12	4	All HR paper files for restore now scanned onto onbase. Action: Uploading documents to OnBase to be rolled out to IUC on a trial period
40	Non conveyance decisions	IF there is inadequate structured assessment with unclear decision making and a failure to adhere to Montgomery principles in consenting the patient for discharge with poor safety netting THEN a discharge or acceptance of refusal decision may be made inappropriately	RESULTING IN potential for adverse patient outcome	Quality	2. 3. 4. 10.	Quality and Professional Standards	Paramedic Practice	Mark Millins	16/12/2025	09/07/2025	15	12	8	DATIX incidents reduced by 5%, however still occurring. Action: Awaiting audit report and possibly present at CGG

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357	Maternity Care	IF YAS Clinicians do not receive adequate maternity training, clinical supervision and support when caring for maternity patients and new born babies THEN maternity patients and new born babies may receive poor quality care	RESULTING IN poor patient outcomes.	Quality	2. 3. 4. 10.	Quality and Professional Standards	Paramedic Practice	Mark Millins	17/12/2025	09/07/2025	12	12	4	Consultant Midwife in post, work streams are running to improve maternity care focussing on crews at scene and clinical supervision Action: Secure CPD funds for maternity training.
644	Paramedic Urgent Care (Non-qualified) roles	IF Paramedic Urgent Care (Non-qualified) roles do not regularly refresh their clinical skills / knowledge THEN These staff could attend a patient requiring care outside of their capability	RESULTING IN potential patient harm, regulatory action and reputational risk to the Trust.	Quality	9	Quality and Professional Standards	Paramedic Practice	Mark Millins	17/12/2025	09/07/2025	12	12	4	Risk managed by Advanced Paramedics within urgent care. APOX managing individuals who are compliant with CPD inline with HCPC. Action: To be incorporated into ongoing clinical model work
632	Restrictive Intervention/ Restraint practices without Training	IF the Trust fails to implement the necessary and proportionate training regarding medical intervention restraint for all required staffing roles THEN patients and staff members will be exposed to greater severity of harm	RESULTING IN potential increases in reports of physical injuries to patients and staff, increased staffing absence/ retention due to physical and psychological injury and increased financial claims and reputational damage to the Trust.	People	4. 7. 9.	Quality and Professional Standards	Safety	John Thompson	14/01/2025	09/07/2025	12	12	3	Restrictive interventions training is to begin this year and is included in the training plan
187	Moving and Handling Activities	IF the trust does not have in place suitable and effective moving and handling controls - such as training and equipment THEN avoidable moving and handling incidents will continue to occur	RESULTING IN injuries to staff and patients.	Quality	4. 7. 9.	Quality and Professional Standards	Health and Safety	Shelley Jackson	04/07/2025	06/10/2025	12	12	2	Moving & Handling training updated in line with NEAS, ensuring it sector specific. Action: Ongoing work to ensure correct assessments have been completed prior to return to work
188	Health and Safety training for middle managers	IF the Trust's middle management do not receive formal health and safety training THEN the Trust will be unable to effectively maintain its health and safety management system	RESULTING IN an increase in health and safety incidents and the multifarious potential adverse impacts associated with these	Quality	7. 9.	Quality and Professional Standards	Health and Safety	Shelley Jackson	04/07/2025	10/10/2025	12	12	2	IOSH health and safety training is included in the training plan for 25/26. Action: Ongoing work to create training plan aimed towards senior management.
195	Senior Management H&S Training	IF the Trust's senior management do not receive up to date health and safety training THEN the Trust will be non-compliant with the requirements contained in the Management of Health and Safety at Work Regulations 1999, Regulation 13 which states that "...health and safety training... shall be repeated periodically where appropriate"	RESULTING IN senior managers not having up to date health and safety knowledge at their disposal when making senior level decisions.	Quality	7. 9.	Quality and Professional Standards	Health and Safety	Shelley Jackson	04/07/2025	10/10/2025	3	12	2	IOSH health and safety training is included in the training plan for 25/26. Action: Ongoing work to create training plan aimed towards senior management.
347	Attending Incidents on or near Water	IF the Trust does not provide adequate knowledge or training to support staff on or near water working THEN there is a potential for harm to patients and/or staff	RESULTING in adverse patient outcome.	Quality	7. 9.	Quality and Professional Standards	Health and Safety	Shelley Jackson	04/07/2025	10/10/2025	9	15	5	Action: Task and finish group now complete, options paper prepared for presentation.
598	Transport of neonates weighing less than 2.5 kg	IF YAS does not have suitable equipment to transport neonates weighing less than 2.5 kg who require ongoing care and support in an ambulance THEN DCAs will be unable to safely transport small / premature newborns who are unwell	RESULTING IN potential harm to patients plus non-compliance with regulatory requirements and subsequent financial and reputation harm.	Quality	4	Quality and Professional Standards	Health and Safety	Shelley Jackson	04/07/2025	10/10/2025	12	12	4	New equipment developed that will cover all age ranges, demonstrations arranged. Trial of the equipment will then follow. Action: consultant with new midwife to support ongoing work.

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286	Child Protection Information System (CPIS)	IF CP-IS system checking is not triggered at the point at which a child or pregnant woman accesses YAS via 999. THEN a timely alert will not be sent to the local authority who are managing the care plan nor will YAS be able to use this information to enhance their safeguarding assessment	RESULTING IN increased risk for vulnerable unborns, children and young people.	Quality	2. 4.	Quality and Professional Standards	Safeguarding	Hazel O'Neill	04/04/2025	30/06/2025	9	16	4	Action: Ongoing work with MIS, to confirm if access can be completed by log in details alone, or if smartcards are required.
599	Safeguarding Referrals to Local Authorities from Yorkshire Ambulance Service	IF the Safeguarding referrals leaving the organisation do not contain high quality information and correct and detail THEN social care partners will not be able to review and triage them correctly	RESULTING IN patients not getting help promptly and being at risk of further harm or abuse.	Quality	2. 4.	Quality and Professional Standards	Safeguarding	Hazel O'Neill	13/11/2025	01/08/2025	15	12	4	Local authorities use a portal system opposed to emails, however IUC are completing training for quality of referrals Actions: Support IUC with quality of referrals and manage expectation of local authority partners
669	Associate Ambulance Practitioner (AAP) Apprentices passed planned end date (PPED).	IF the percentage of AAP Apprentices going passed their planned end date remains above 15%, THEN the Trust will remain non compliant with the Education and Skills Funding Agency (ESFA)	RESULTING IN reclaimed levy payments and inability to register further apprentices	Finance and Performance	9	People and OD	Education	Dawn Adams	09/05/2025	30/06/2025	12	16	4	Trust worked with NWAS and have made the decision to stand the consolidation period that students follow down, to hopefully see reduction in passed planned end dates. Actions: Transition from Future Qualls to Qual Safe around October 2025. Streamline assessment requirements process and build this into the early part of the programme
362	Sickness Absence	IF sickness absence does not reduce to the 5% Trust threshold and is not accurately recorded, managed, and reported THEN the Trust may not fully understand interventions required, cannot adequately plan the workforce to meet the demand	RESULTING IN impact on service delivery.	People	6. 7.	People and OD	People	Suzanne Hartshorne	13/05/2025	31/07/2025	12	12	4	Absence plan split into three parts: Absences managed timely and staff focused Prevention and focus on health and well being Investigate themes and trends in specific areas Action: Absence group continues to review trends and ongoing monitoring.
610	National Minimum Wage - staff on salary sacrifice remunerated under legal minimum	IF a solution cannot be found to ensure staff are paid at or above National Minimum Wage THEN the Trust might be subject to legal action from staff	RESULTING IN potential fines and reputational issues.	People	12	People and OD	People	Suzanne Hartshorne	13/05/2025	31/07/2025	12	12	3	Action: top-up amount being paid to under 20 staff. TEG supported until alternative SS arrangements and/or the 25/26 pay award. All relevant staff informed.